

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Lin Yang et al

U.S. Serial No: 09/ 872,059



Group Art Unit:

Filing Date: 01 June 2001

Examiner:

Title: "PSEUDO-RANDOM SEQUENCE PADDING  
IN AN OFDM MODULATION SYSTEM"

Assistant Commissioner for Patents  
Washington, D.C. 20231

RESPONSE TO NOTICE OF INCOMPLETE REPLY

Dear Sir:

The Applicants herewith a copy of a Notice Of Incomplete Reply (Nonprovisional), Notice To File Missing Parts (mailed 02 August 2001), five sheets of formal drawings (Figures 1A – 9) for the above-identified patent application, together with a Petition For Extension Of Time (two months) and a check for \$200. for the Petition. If any additional Fee is required, please deduct the additional Fee from Deposit Account 50-1467 and notify Applicants' representative of the amount of the Fee deducted from this account.

Respectfully Submitted,

A handwritten signature in cursive script that reads "John Schipper".

John Schipper

Date: 30 November 2001

Patent Attorney for Applicants

## EXPRESS MAIL CERTIFICATE

"Express Mail" mailing number: EL728482735US

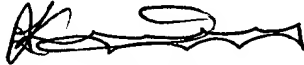
Date of Deposit: November 30, 2001

U.S. Serial No: 09/872,059

I hereby certify that this paper or fee is being deposited with the United States Postal Service Express Mail Post Office to Addressee" service under 37 CFR 1.10 on the date indicated above and is addressed to Box Patent Ext., U.S. Patent and Trademark Office, P.O. Box 2327, Arlington, VA 22202 to be forwarded to Commissioner for Patents, Washington, D.C. 20231.

Anna Kumar

Name



Signature

November 30, 2001

date

1. Check in the amount of \$200.00
2. Postcard for Return Receipt
3. Express Mail Certificate (1 p)
4. Misc. Transmittal Form (Substitute Drawings) (1 p)
5. Fee Transmittal (1 p)
6. Petition for Extension of Time (2 months) (1 p)
7. Notice of Incomplete Reply (1 p)
8. Response to Notice of Incomplete Reply (1 p)
9. Substitute Drawings (5 sheets)

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

**FEE TRANSMITTAL  
for FY 2002**

Patent fees are subject to annual revision.

NOV 30 2001

**Complete if Known**

Application Number 09/872,059

Filing Date June 1, 2001

First Named Inventor Lin YANG

Examiner Name

Group Art Unit

Attorney Docket No. 6024-003

**TOTAL AMOUNT OF PAYMENT** (\$) 200.00**METHOD OF PAYMENT (check one)**

- 1.
- ☐
- The Commissioner is hereby authorized to charge indicated fees and credit any over payments to:

Deposit  
Account  
Number

50-1467

Deposit  
Account  
Name

Law Office of John F. Schipper

- ☒
- Charge Any
- Additional Fee
- Required
- 
- Under 37 CFR 1.16 and 1.17

- ☒
- Applicant claims small entity status.
- 
- See 37 CFR 1.27

- 2.
- ☒
- Payment Enclosed:

- ☒
- Check
- ☐
- Credit card
- ☐
- Money
- 
- Order
- ☐
- Other

**FEE CALCULATION****1. BASIC FILING FEE**

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	740	201	370	Utility filing fee	
106	330	206	165	Design filing fee	
107	510	207	255	Plant filing fee	
108	740	208	370	Reissue filing fee	
114	160	214	80	Provisional filing fee	

**SUBTOTAL (1)**

(\$ 0.00)

**2. EXTRA CLAIM FEES**

Total Claims		=	20	=		X		=	
Independent Claims		=	3	=		X		=	
Multiple Dependent		=		=		X		=	

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

**SUBTOTAL (2)**

(\$ 0.00)

\*\*or number previously paid, if greater; For Reissues, see above

**FEE CALCULATION (continued)****3. ADDITIONAL FEES**

Large Entity Fee Code	Large Entity Fee (\$)	Small Entity Fee Code	Small Entity Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet.	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	400	216	200	Extension for reply within second month	200.
117	920	217	460	Extension for reply within third month	
118	1,440	218	720	Extension for reply within fourth month	
128	1,960	228	980	Extension for reply within fifth month	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of an appeal	
121	280	221	140	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,280	241	640	Petition to revive - unintentional	
142	1,280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of Information Disclosure Stmt	
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR § 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR § 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	

Other fee (specify)

\*Reduced by Basic Filing Fee Paid

**SUBTOTAL (3)**

(\$ 200.00)

**The Commissioner is authorized to charge any additional fees to the above noted Deposit Account.****SUBMITTED BY****Complete if applicable**

Name (Print/Type)	John F. Schipper	Registration No. Attorney/Agent)	26,994	Telephone	(408) 293-9934
Signature	<i>John F. Schipper</i>	Date	November 30, 2001		

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Washington, DC 20231. (SF 1156686 v1)



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/872,059	06/01/2001	Lin Yang	6024-03

John F. Schipper, Esq.,  
111 N. Market Street, Suite 808  
San Jose, CA 95113

NOV 30 2001  
P.E.  
Patent & Trademark  
Dates:  
Docketed  
Due: 10/21/01  
received  
8/6/01

CONFIRMATION NO. 2292

## FORMALITIES LETTER



\*OC000000006376947\*

Date Mailed: 08/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

*Filing Date Granted*

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The signature of the following inventor(s) is missing from the oath or declaration:  
*Ke Gong*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawing sheets do not have the appropriate margin(s) (see 37 CFR 1.84(g)). Each sheet must include a top margin of at least 2.5 cm. (1 inch), a left side margin of at least 2.5 cm. (1 inch), a right side margin of at least 1.5 cm. (5/8 inch), and a bottom margin of at least 1.0 cm. (3/8 inch);

*A copy of this notice **MUST** be returned with the reply.*

H-T